

STATEMENT UNDER 37 CFR 3.73(B)Applicant/Patent Owner: Robert Michael Roberts, Jonathan Andrew Green and Sancai XieApplication No./Patent No. 6,869,770 Filed/Issue Date: March 22, 2005Entitled: COMPOSITIONS AND METHODS FOR EARLY PREGNANCY DIAGNOSIS

The Curators of the University of Missouri, a university
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010054, Frame 0439, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

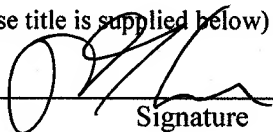
3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
 [NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Robert E. Hanson, Reg. No. 42,628

Printed or Typed Name

Attorney for Applicants

Title

February 10, 2009

Date

(214) 259-0931

Telephone Number

UVMO:003US

File Code



HYL
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 30, 1999

PTAS

REC'D. - A. W. & D



SEP 13 1999

101079933A

ARNOLD WHITE & DURKEE
STEVEN L. HIGHLANDER, ESQ.
P.O. BOX 4433
HOUSTON, TEXAS 77210

HOUSTON DOCKETING DEPT.

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/28/1999

REEL/FRAME: 010054/0439
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ROBERTS, ROBERT MICHAEL

DOC DATE: 05/03/1999

ASSIGNOR:

GREEN, JONATHAN ANDREW

DOC DATE: 05/03/1999

ASSIGNOR:

XIE SANCAI

DOC DATE: 05/11/1999

ASSIGNEE:

CURATORS OF THE UNIVERSITY OF
MISSOURI, THE
316 UNIVERSITY HALL
COLUMBIA, MISSOURI 65211

SERIAL NUMBER: 09273164
PATENT NUMBER:

FILING DATE: 03/19/1999
ISSUE DATE:

UV mo 003
DOCKETED ☒ UPDATED ☐

Previously ☐ Not Required ☐

Appl. Info ☐

Reg/Grant Info ☐

Action Required: Assignment
Recordation reqd

Date Due/Done: ☐

By: [Signature] Checked [Signature]
RB

010054/0439 PAGE 2

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to The Curators of the University of Missouri (the "Assignee"), having a place of business at 316 University Hall, Columbia, MO 65211, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/273,164 which has been filed on March 19, 1999 and is entitled "COMPOSITIONS AND METHODS FOR EARLY PREGNANCY DIAGNOSIS", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

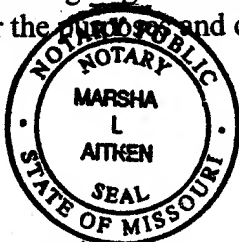
TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Robert Michael Roberts
Name: Robert Michael Roberts

Date: 5/3/99

STATE OF Missouri)
) ss.
COUNTY OF Boone)

BEFORE ME, the undersigned authority, on this 3 day of May, 1999, personally appeared Robert Michael Roberts, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[SEAL]

Marsha L. Aitken
Notary or Consular Officer

Signature: Jonathan Andrew Green
Name: Jonathan Andrew Green

Date: 5/3/99

STATE OF Missouri)
) ss.
COUNTY OF Boone)

BEFORE ME, the undersigned authority, on this 3 day of May, 1999, personally appeared Jonathan Andrew Green, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[SEAL]

MARSHA L. AITKEN
NOTARY PUBLIC - STATE OF MISSOURI
BOONE COUNTY
COMMISSION EXP OCT 23, 1999

Marsha L. Aitken
Notary or Consular Officer

Signature: Sancai Xie
Name: Sancai Xie

Date: 5/11/99

STATE OF Ohio)
COUNTY OF Hamilton) ss.

BEFORE ME, the undersigned authority, on this 11 day of May, 1999, personally appeared Sancai Xie, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Maryellen Cleavinger
Notary of Consular Officer

[SEAL]

MARYELLEN CLEAVINGER
Notary Public, State of Ohio
My Commission Expires Nov. 19, 2003

ARNOLD WHITE & DURKEE

P. O. Box 4433
Houston, Texas 77210

One Franklin Square
1301 K Street, N.W.
Suite 350 East Tower
Washington, DC 20005